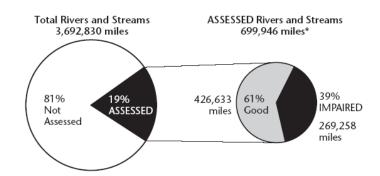
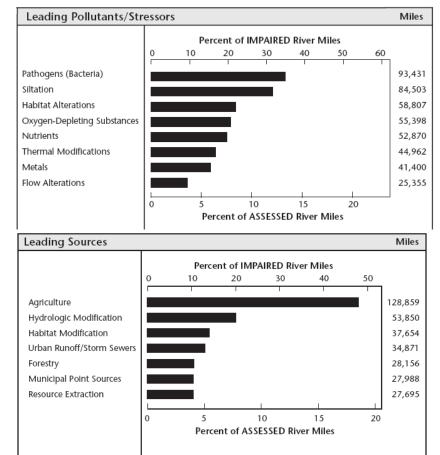
Figure 2-4

Leading POLLUTANTS in Impaired Rivers and Streams





The Scope of Water Pollution: 2000 Water Quality Inventory

Clean Water Act of 1972

Legislative History

- Water pollution control started at municipal level, in reaction to massive public health epidemics (e.g., cholera in 1830s); started with building of drinking water systems and then sewer systems
- States followed cities; many state level programs were used as model for federal programs
- Federal Water Pollution Control Act of 1948: System of federal subsidies to state and local governments
- Water Quality Act of 1965: Required identification of beneficial uses and supporting water quality standards for interstate waters
- Federal Water Pollution Control Act of 1972 (Amended 1977, 1987)

Original Goals

- Zero discharge by 1985 (Failed!)
- Fishable and swimmable waters by 1983 (Failed!)
- No toxic discharges in toxic amounts (Failed!)
- Secondary treatment for all publicly owned treatment works (almost!)

CWA Administration

Federal Administrative Structures

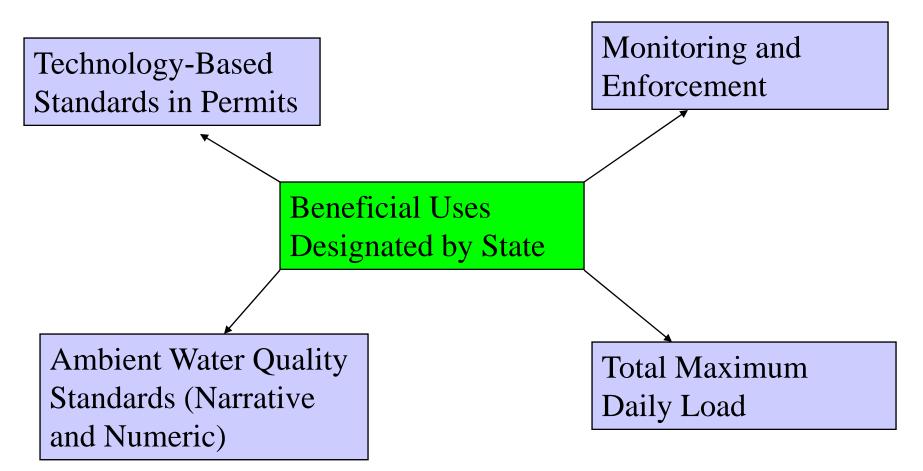
- Environmental Protection Agency; regional organization
- Primacy: State level implementation; 44 states have primacy

California Administrative Structures

- 1969 Porter-Cologne Water Quality Control Act
- CALEPA, State Water Quality Control Board, 9 Regional Water Quality Control Boards
- Basin planning and Waste Discharge Requirements



Key Elements of CWA Implementation



What is a Point Source?

CWA definition: "Any discernable, confined and discrete conveyance, including but not limited to any pipe, ditch...concentrated animal feeding operation..from which pollutants are or may be discharged. ...Does not include agricultural stormwater discharges and return flow from irrigated agriculture."



National Pollution Discharge Elimination System

NPDES Permit Basics

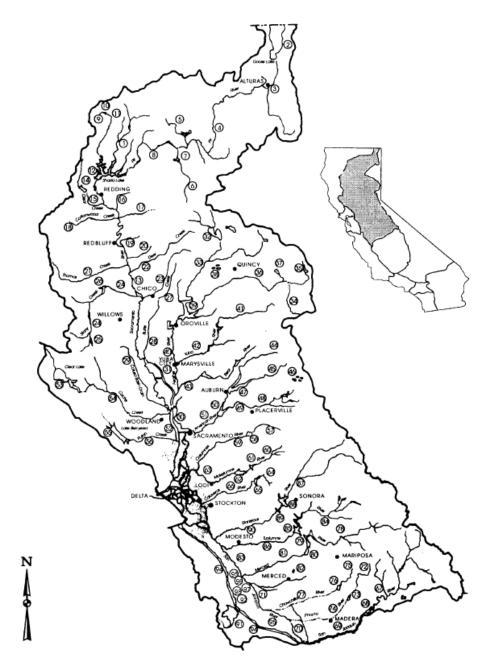
- NPDES is the classic command-and-control system
- All point sources in US must have an NPDES permit issued at 5-year intervals
- Over 200,000 NPDES permits in US
- Most industrial dischargers, publicly owned treatment works (POTW),
- EPA establishes national effluent guidelines for each industry based on "best practicable technology" or "best available technology economically achievable" (BAT guidelines)
- POTW must achieve "secondary treatment"
- Permits contain technology and effluent limitations, and monitoring and reporting requirements

Designated Uses

- Under section 305 (b), states specify designated uses for each waterbody based on "existing uses" (includes past uses)
- Designated use usually includes fishable/swimable designation; many others (e.g., industrial)
- Biennial 305(b) reports show whether designated uses are fully supported, fully supported but threatened, partially supported, or impaired
- Economic considerations allowed in setting DU

FIGURE II-1

SURFACE WATER BODIES AND BENEFICIAL USES



Designated Uses: Sacramento and San Joaquin Basins

SURFACE WATER BODIES AND BENEFICIAL USES

	SURFACE WATER BODIES (1)			A(CUL	GRI- TURE	I	NDUSTR	Y	R	ECREAT	ION	FRESH HABIT	WATER AT (2)	MIGR	ATION	SPAV	NING		
			MUN	A	GR	PROC	IND	POW	R	IC-1	REC-2	WARM	COLD	M	GR	SF	WN	WILD	NAV
		HYDRO UNIT NUMBER	MUNICIPAL AND DOMESTIC SUPPLY	IRRIGATION	STOCK WATERING	PROCESS	SERVICE SUPPLY	POWER	CONTACT	CANOEING (1) AND RAFTING	OTHER NONCONTACT	MARW	согр	WARM (3)	COLD (4)	WARM (3)	COLD (4)	WILDLIFE HABITAT	NAVIGATION
1	M©CLOUD RIVER GOOSE LAKE	505. 527.20	E	Е	Е			E	ЕE	Р	E	Е	E				E	E	
3	PIT RIVER NORTH FORK, SOUTH FORK, PIT RIVER	525.00	Е	Е	Е				Е	Р	Е	Е	Е			Е	Е	Е	
4 5 6 7	CONFLUENCE OF FORKS TO HAT CREEK FALL RIVER HAT CREEK BAUM LAKE	526.35 526.41 526.30 526.34	E	E E E	E			E E E E	E E E	E	E E E	E E E	E E E			Ē	E	E E E E	
8	MOUTH OF HAT CREEK TO SHASTA LAKE	526	F	F	F			F	F	F	F	Р	F			F	F	F	
9	SACRAMENTO RIVER SOURCE TO BOX CANYON RESERVOIR LAKE SISKIYOU	525.22 525.22		Е	Е				E		E	С	E				P	E	
11 12 13 14	BOX CANYON DAM TO SHASTA LAKE	525.2 506.10 524.61	E E E	E E E	E E E		E	E E E	E E E	E	E E E E	E E E	E E E	E	E	E E E	E E E	E E E	E
15 16 17 18	BATTLE CREEK	524.62 507.3 507.12 524.3	E P E	EEEE	E E E	r	ſ	E E r	E E E	E P E	E E E	E	E E E		E E E	E E E	E E E	E E E	
19 20 21 22	ANTELOPE CREEK MILL CREEK HOMES CREEK DEER CREEK	509.63 509.42 523.10 509.20	E E E	шшшш	E E E			r	шшшш	E	E E E E	шшлш	ШШ		E E E	E E E	шшшш	шштш	
23 24 25 26	BIG CHICO CREEK STONY CREEK EAST PARK RESERVOIR BLACK BUTTE RESERVOIR	509.14 522.00 522.33 522.12		шш ш	E E E				шшшш	E	E E E	шшшш	E P P		E	EEEE	ШШ	ш ш ш ш	
27 28 29		521.30 520.40 520.21	E	E E	E E E			E	E E E	E E		E E E	E E P	E	E	E E E	E	E E E	

Technology Based Performance Standards

Technology Based Effluent Limitations

- Numerical limitations established by EPA and placed on certain pollutants from certain sources.
- Applied to industrial and municipal sources through numerical effluent limitations (performance standards) in discharge permits
- Technology-based performance standards are required regardless of quality of receiving water
- Best practicable technology (BPT) for conventional pollutants; best available technology (BAT) for toxics
- Ambient water quality standards kick in when technology based standards are not met

Water Quality Standards

Ambient Water Quality Standards

- Designated uses specify water quality criteria, which guide NPDES permits
- Narrative criteria describe conditions in words; e.g., no unsightly oil
- Numeric criteria specify pollutant concentrations (e.g., mg/l)
- Only scientific criteria allowed; no economic costs
- State WQ standards must be at least as protective as EPA guidelines
- Anti-degradation policies prohibit degradation of water bodies that exceed standards

Monitoring and Enforcement

Monitoring and Enforcement: Beneficial Uses

- 305 (b) reports for attainment of beneficial uses
- Non-attainment triggers the TMDL process

Monitoring and Enforcement: NPDES

- Self-monitoring through "discharge monitoring reports", backed by periodic inspections
- Three enforcement levels: informal, administrative orders, judicial referral
- Civil fines can be as high as \$25,000 per day of violation; criminal fines can be as high as \$50K per day and 3 years in jail

Table 3.1

Types and Frequencies of Enforcement Actions Conducted by EPA Officials, 1975–1988

Act	lion	Frequencies	Percentage
(0)	Comment, no action warranted, permit modification requests, or reissue	5,927	
(1)	Telephone calls, director's letters, enforcement notice letters, permit modifications, meetings with the permittee		21.6
(2)	Warning letters, notices of violation, final orders of the board, and other state orders	2,282	8.3 40.2
(3)		2,201	8.0
(4)	Enforcement conference agreement, show cause hearing	122	0.4
(5)	Administrative orders, referrals to higher level review, judicial action planned, and penalties recommended	3,870	14.1
(6)	Civil action filed, consent decrees, judicial action pending, judicial decrees, sewer bans, NPDES penalties pending, stipulation orders	1,904	
(7)	Contempt action, civil action, and NPDES Penalty Category II penalties filed	1,304	6.9
= 1/	27,439	102	0.4

Table 3.4

Mean Severity of Enforcements by Region, 1975-1988

Region	Number of actions	Mean severity level	Standard deviation	Nonprimacy states	Territories
1	1,481	1.57	2.34	3	0
2	13,231	1.64	1.54	0	1
3	366	3.87	1.70	0	0
4	2,321	4.24	1.44	1	0
5	3,215	2.73	2.16	0	0
6	5,984	2.59	1.43	4	0
7	190	4.11	1.33	0	0
8	123	2.58	1.71	1	0
9	141	3.85	1.16	1	4
10	388	3.39	2.02	2	0

"Pragmatic Enforcement"

1.5

Types and Classifications of Enforcement Actions

State Water Resources Control Board Enforcement Actions

Types of Enforcement Action	Descriptions	Classifications
Verbal Communication	Any communication regarding the violation that takes place in person or by telephone.	Informal
Staff Enforcement Letter	Any written communication regarding violations and possible enforcement actions that is signed at the staff level.	Informal
Notice of Violation	A letter officially notifying a discharger of violations, possible enforcement actions, penalties, and liabilities that is signed by the Executive Officer.	Informal
Notice to Comply	Issuance of a Notice to Comply per Water Code Section 13399.	Formal
13267 Letter	A letter using Water Code Section 13267 authority to require further information or studies.	Formal
Clean-up and Abatement Order	Any order pursuant to Water Code Section 13304.	Formal
Cease and Desist Order	Any order pursuant to Water Codes Sections 13301- 13303.	Formal
Time Schedule Order	Any order pursuant to Water Code Section 13300.	Formal
Administrative Civil Liability (ACL) Complaint	ACL Complaint issued by the Executive Officer for liability pursuant to Water Code 13385.	Formal
Administrative Civil Liability (ACL) Order	An ACL Order that has been imposed by the State or Regional Water Board.	Formal
Settlement	A settlement agreement per California Government Code Section 11415.6	Formal
Referral	Referral to the District Attorney, Attorney General, or US EPA.	Formal
Referred to a Task Force	Any referral of a violation to an environmental crimes task force.	Formal
Referral to Other Agency	Any referral to another State agency.	Formal
Third Party Action	An enforcement action taken by a non- governmental third party and to which the State or Water Board is a party.	Formal
Waste Discharge Requirements	Any modification or rescission of Waste Discharge Requirements in response to a violation.	Formal

Figure 9

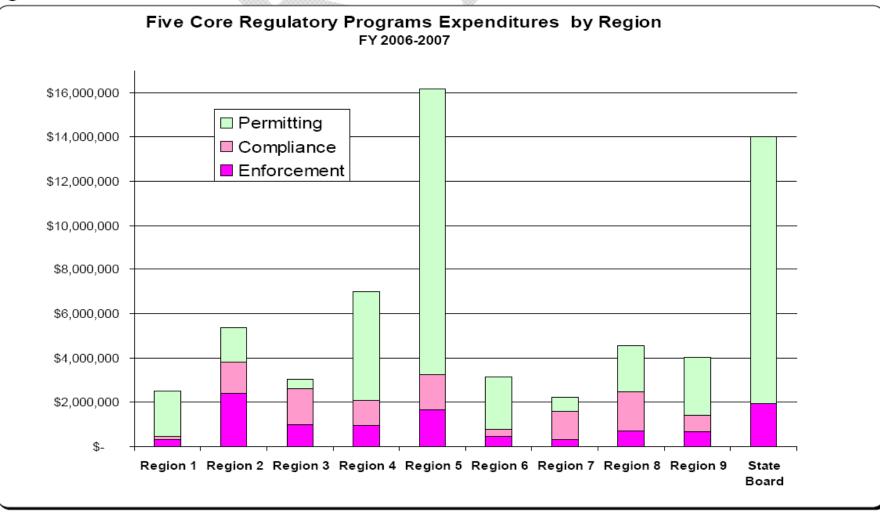
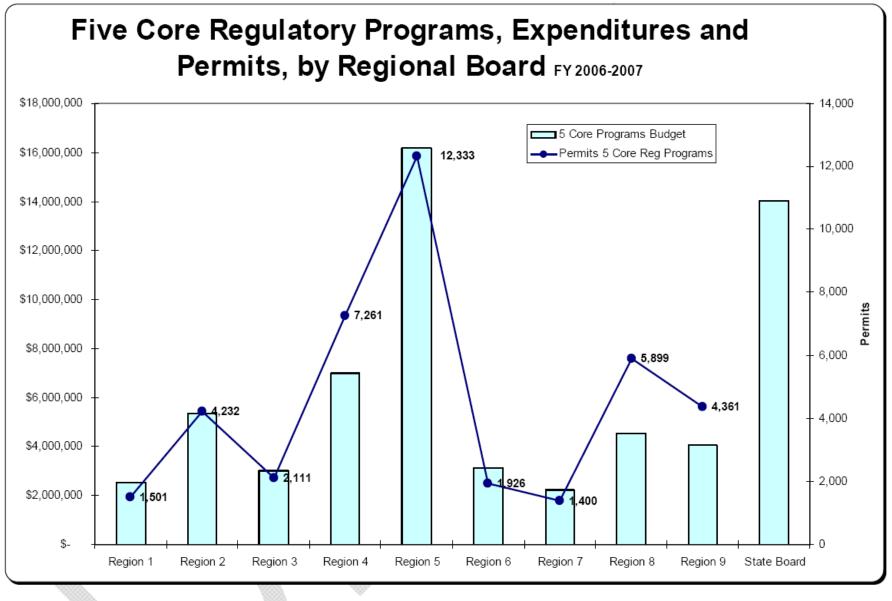


Figure 10



	les		V	/iolations			tions Subje y Minimum		
Regional Board	No. of Facilities	Inspections Conducted	Total Violations	Receiving Enforcem ent	% of violations Receiving Enforcem ent	Total MMP Violations	Receiving a Penalty at or Above Minimum	% of MMP Violations Receiving Mandatory Enforceme nt	
1	78	45	97	47	48%	37	22	59%	
2	298	50	242	101	42%	35	22	63%	
3	130	51	410	228	56%	77	1	1%	
4	728	171	2,281	697	30%	1,196	5	0%	
5	478	88	493	280	56%	98	40	41%	
6	34	2	22	11	50%	8	0	0%	
7	69	11	244	238	97%	154	23	15%	
8	436	17	94	85	90%	4	4	100%	
9	149	40	249	229	92%	50	24	48%	
Totals	2,400	475	4,132	1,916	46%	1,659	141	8%	

Table 5 – NPDES Compliance and Enforcement Outputs FY 2006-2007

Table 19

NPDES WASTEWATER COMPLIANCE RATE FY 2006/ 2007												
Region	Number of Facilities	Facilities with one or more violations in the period	Percentage of Facilities in Violation	Total Violations	Total Facilities With Priority Violations	Percentage of Facilities with priority violations	Total Priority Violations	# of Facilities with 1-10 violations	# of Facilities with 11- 25 violations	# of Facilities with >25 violations	Average # of Violations per Facility In violation	
1	79	21	27%	142	8	10%	51	17	3	1	6.8	
2	293	48	16%	245	15	5%	68	41	3	4	5.1	
3	130	47	36%	454	32	25%	149	38	5	4	9.7	
4	733	345	47%	2,569	167	23%	831	281	53	11	7.4	
5	482	54	11%	487	6	1%	30	43	8	3	9.0	
6	34	5	15%	22	2	6%	7	4	1	0	4.4	
7	69	21	30%	247	14	20%	102	14	5	2	11.8	
8	436	15	3%	94	4	1%	5	13	1	1	6.3	
9	148	21	14%	249	8	5%	61	16	4	1	11.9	
Total	2,404	577	24%	4,509	256	11%	1,304	467	83	27	7.8	

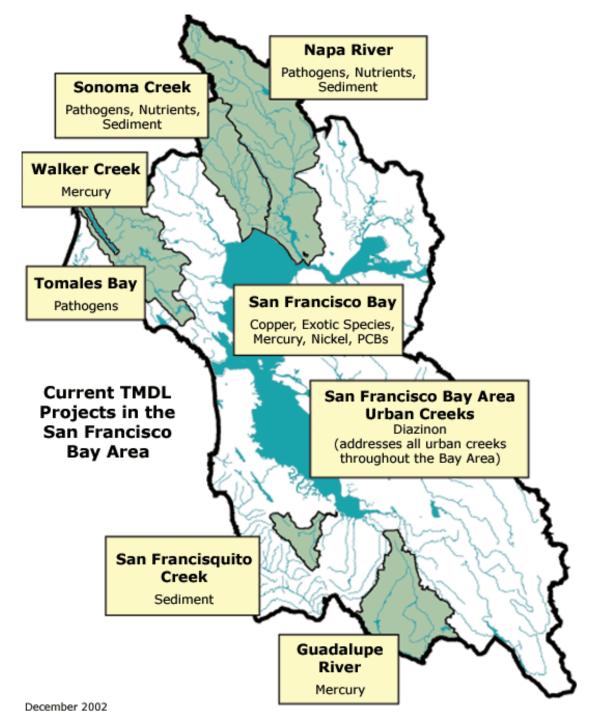
Non-Point Source Pollution and TMDL

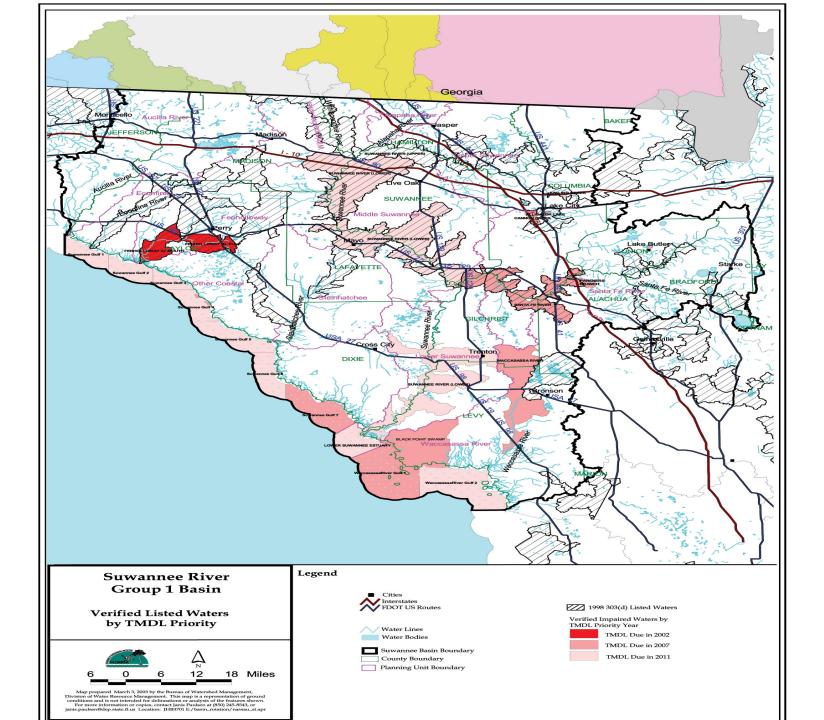
Total Daily Maximum Loads

- States are supposed to identify waters not meeting WQ standards; so-called 303(d) list (pollutant-water body pairs)
- TMDL set the maximum amount of pollution that a water body can receive without violating water quality standards, including margin of saftey
- Requires identification of both point and non-point sources, and load assessment; develop "budget" for reductions
- States were ignoring TMDL for a long time; 40 lawsuits in 38 states changed that

Implementing TMDL Plans

- TMDL implementation requires adjustment of NPDES permits, and also use of non-point source assistance tools
- Funding mechanisms: Section 319h (states required in 1987 amendments to have non-point source program), State Clean Water Revolving Funds, Farm Bill EQIP





NPDES Implementation Problems

- Combined sewer overflows/indirect dischargers
- Many dischargers have no permits/permit backlogs
- Administrative overload and monitoring
- Slow pace of BAT guideline development
- Lacks vigorous enforcement
- Political control of enforcement
- Regional variation

Municipal Wastewater Treatment Grants

Direct Grants

- Title II of 1972 Clean Water Act
- Federal formulas for allocating grant money to states
- Feds pay 55% of costs; 75% of cost for innovative technology

State Water Pollution Control Revolving Funds

- Replaced grant program starting 1989
- Federal money capitalizes fund with state matching funds
- Loans instead of grants; recipients supposed to repay loans to make the fund "revolve"
- Smaller grant recipients have difficulty repaying loans
- Overall, this program is very popular with Congress because it represents delivery of local benefits
- Funding issues: EPA estimates \$390 billion needed to replace aging infrastructure, with \$148 billion needed for operation/maintenance. Estimates \$6 billion dollar annual gap between actual and needed expenditures

Agricultural Runoff

Non-Point Source Pollution

- Definition: Pollution from multiple, dispersed sources that generally has a large cumulative impact on water quality
- Agricultural runoff is worst, urban runoff too
- Difficult to identify and control sources; often outside existing regulatory structures
- Pesticides, sediment, nutrients from fertilizer, irrigation return flows
- Not regulated under the Clean Water Act of 1972
- 1969 Porter-Cologne Act authorizes regulation of ag. discharge, but has passed waivers until just recently

Challenges of Managing Agricultural Runoff

- Ag. Industry resistance: The politics of denial
- Lack of technical solutions
- Lack of monitoring
- No regulatory tools
- Low public awareness
- Difficult to pinpoint sources, invisible

Examples of Agricultural Runoff Programs

Agricultural Waivers, CA

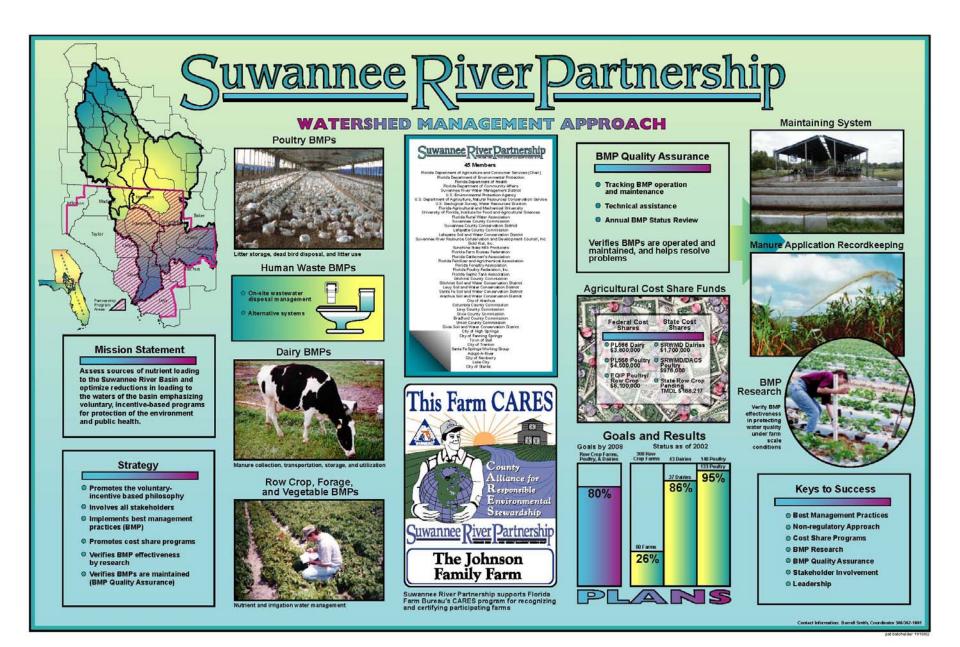
- Original Ag. Waivers in California expired in 2003
- New "Waivers" passed by regional boards require farmers to join a "Coalition Group" or apply for individual permit
- Coalition Group monitors, addresses problems
- Enviro. Groups are litigating right now

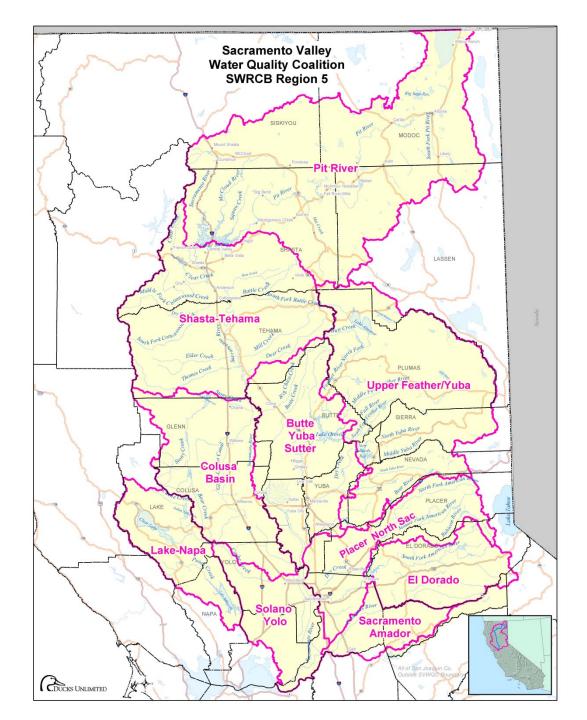
Suwannee River Partnership, FL

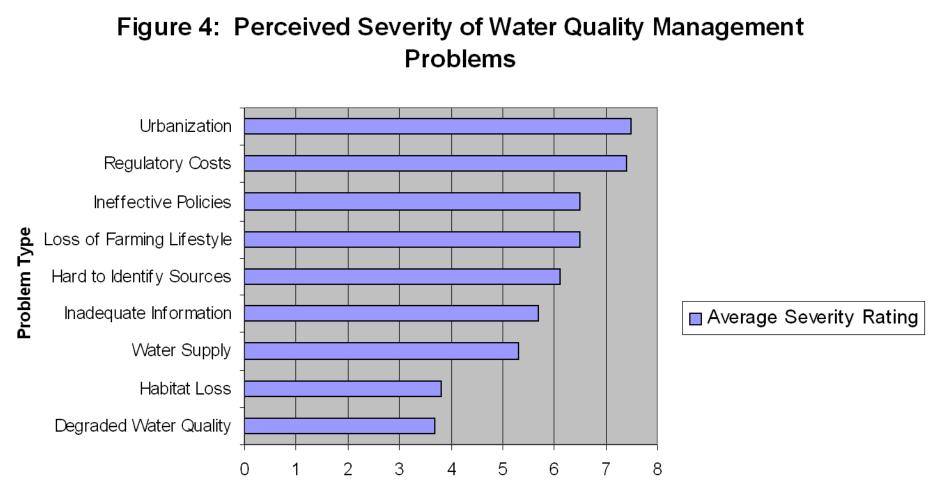
- Collaborative partnership
- Voluntary implementation of BMP through federal Farm Bill programs
- Provides one way to prevent TMDL regulations

Everglades Agricultural Area, FL

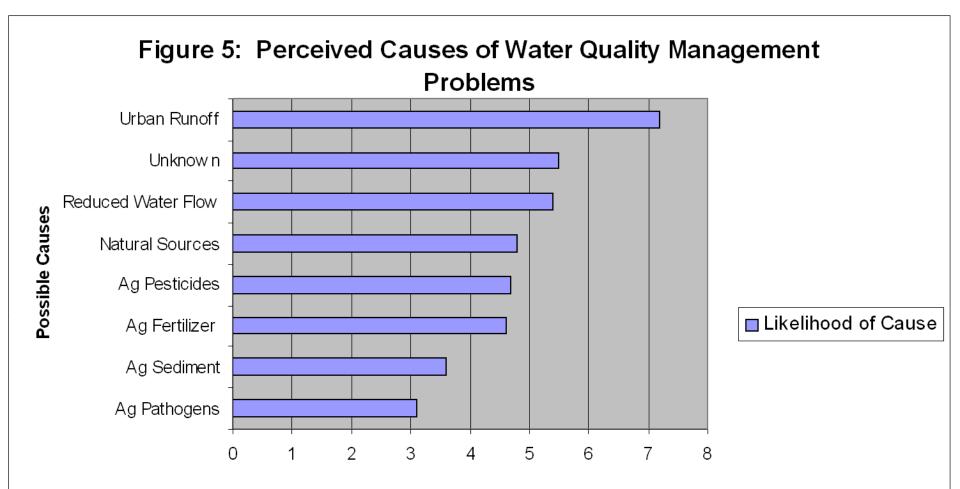
- Permits requiring water management/monitoring plans
- Monitoring of phosphorous in EAA basin outflows; 25% reduction is compliance goal; 10 parts per billion is numerical goal for overall Everglades
- Basin-wide monitoring vs. on-farm implementation
- Similarity of ag. Non-point source problems across country



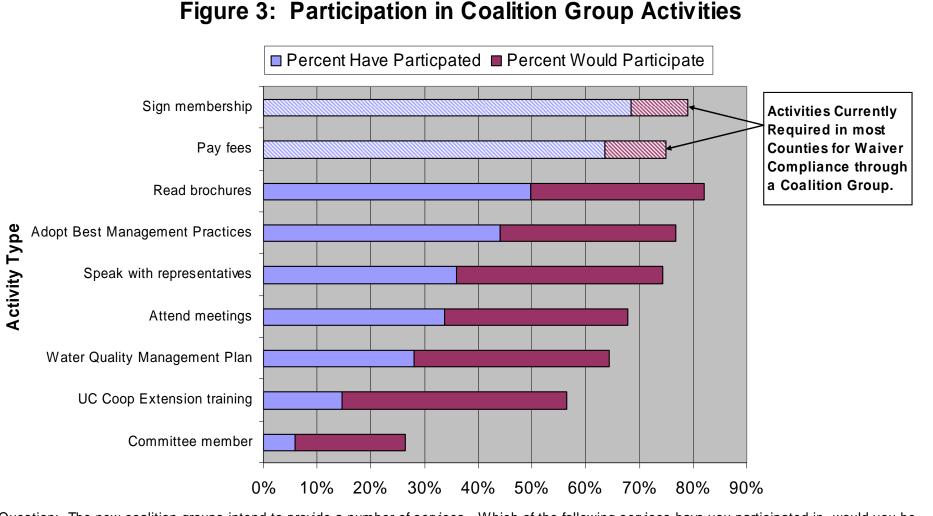




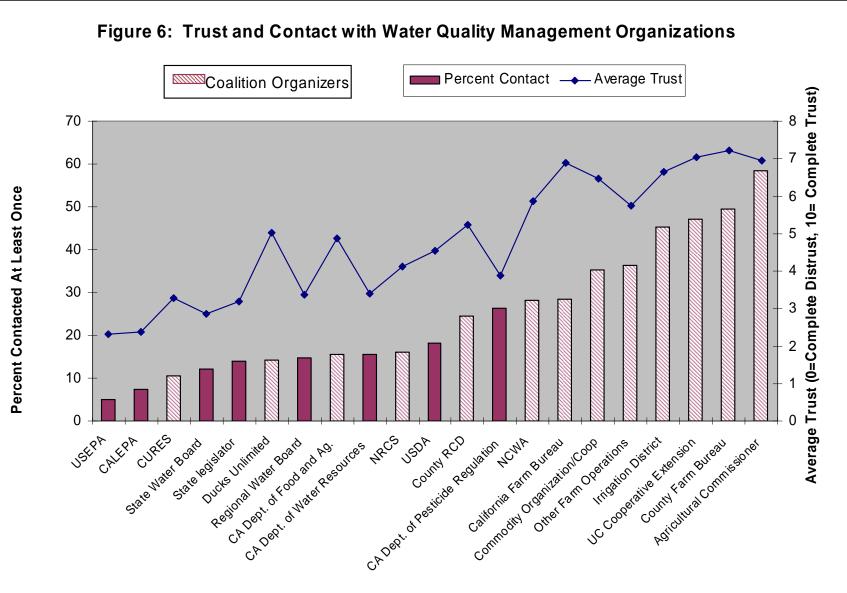
Question: The list below displays several items that have been suggested as current problems related to water quality management in the Sacramento River Watershed. Indicate your assessment of the severity of each problem by choosing an number between 1(not severe) and 10 (extremely severe)



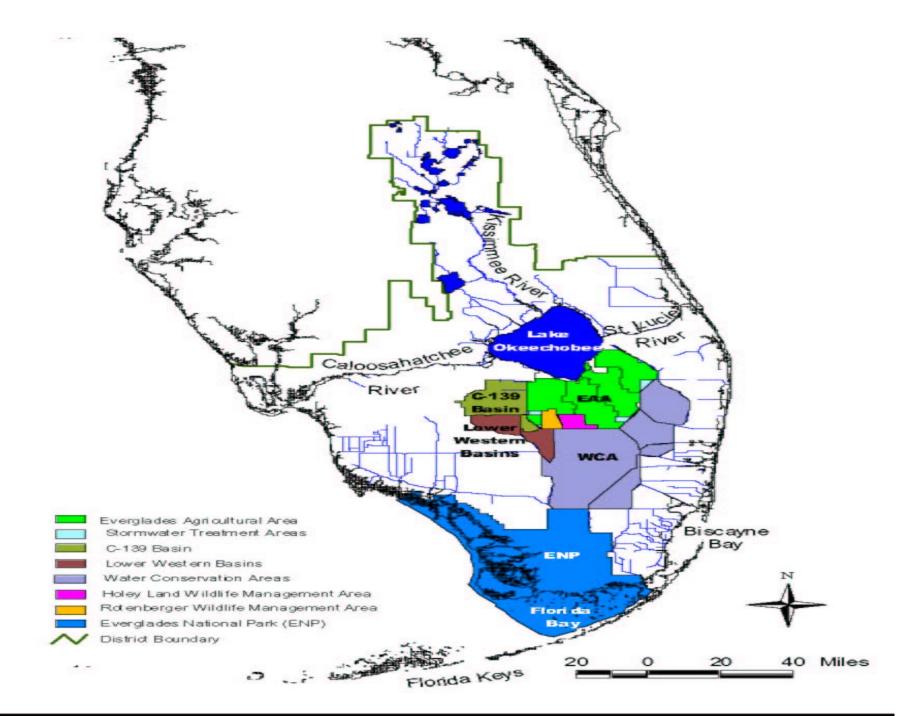
<u>Question</u>: There are different opinions about the current possible causes of water quality problems in the Sacramento River. Please indicate your assessment of the possible causes by choosing a number between 1 (not a cause) and 10 (a major cause).



<u>Question</u>: The new coalition groups intend to provide a number of services. Which of the following services have you participated in, would you be willing to participate in if offered by the Coalition Groups, or would you never participate in?



<u>Question</u>: Below is a list of organizations (or types of organizations) that have been active in the Sacramento River watershed in water quality management. In the last year, how frequently did you speak with each of the organizations--daily, weekly, monthly, annually, none? Please indiate your level of trust for each organization by entering a number between 0 (complete distrust) and 10 (complete trust).



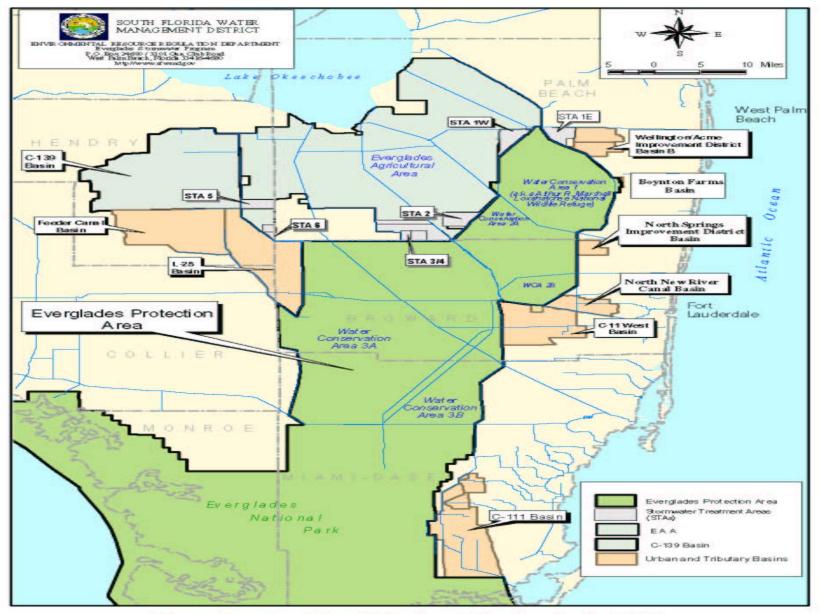
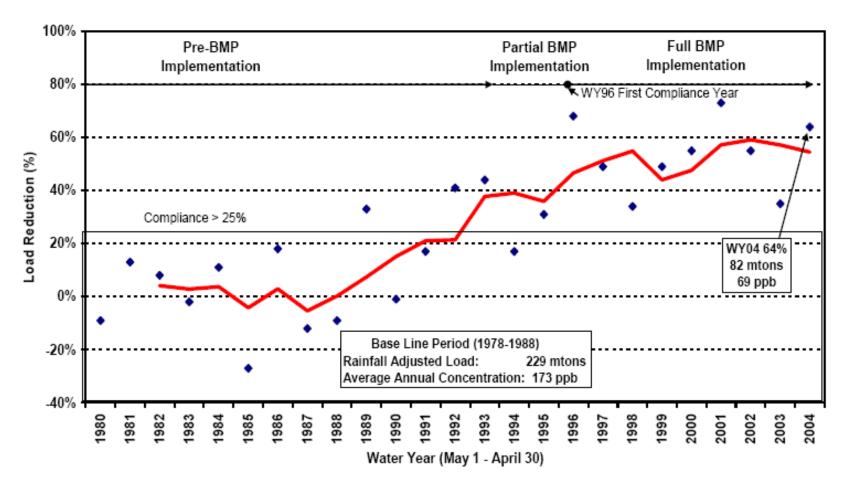
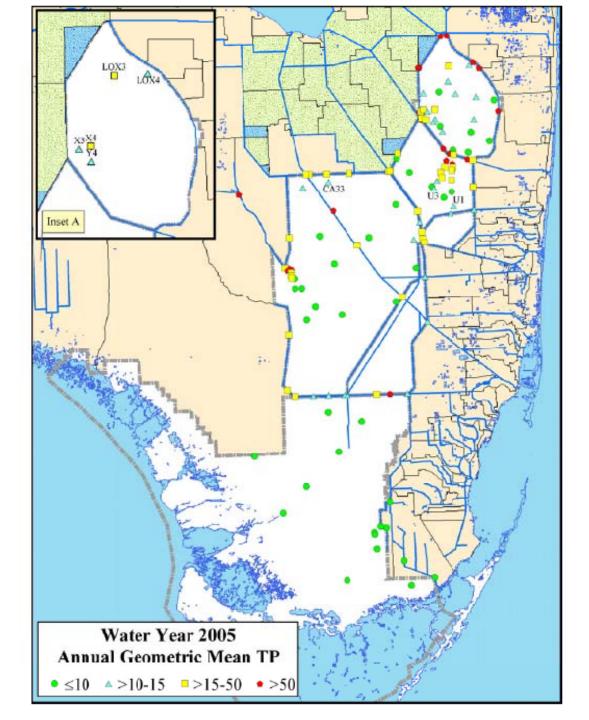


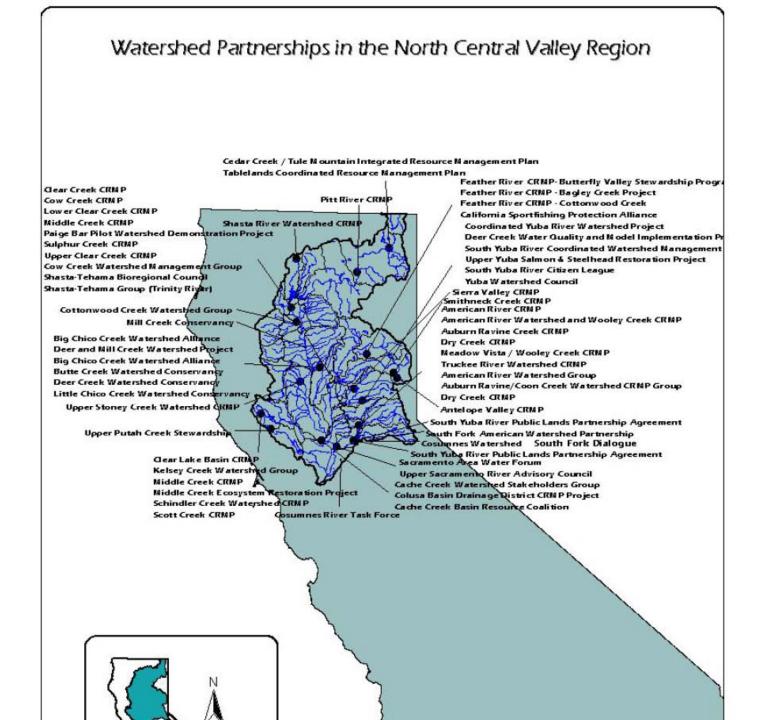
Figure 1 – Location of Basins, Tributary to the EPA





Innovations: Watershed Partnerships

- Collaborative policy-making, watershed focus, multiple stakeholders, creation of social capital, consensus decisionmaking
- Seen as remedy to command-and-control
- Known success factors: science, local conflict resolution, trust, fairness, congruent social values
- Many properties of Ostrom's long-enduring CPR institutions
- Symbolic policy, or real progress? No information on environmental outcomes



Innovations: Water Quality Trading

- Market based approaches thought save money; e.g. EPA estimates market based approach could save \$200 million for TMDL
- Credits based on allowable load allocation; in CT, total annual nitrogen reduction costs are approx \$4.7 million per year for 2.8 million pounds of nitrogen reduction; credit price of \$1.65 per pound
- Trading provisions implemented into NPDES permits; local variation in trading program designs
- Offsetting for point and non-point sources; e.g. point sources paying for BMP implementation in NC
- Credits can be purchased and permanently retired by enviro. groups
- Currently 37 projects in TMDL listed watersheds; EPA providing technical and financial assistance

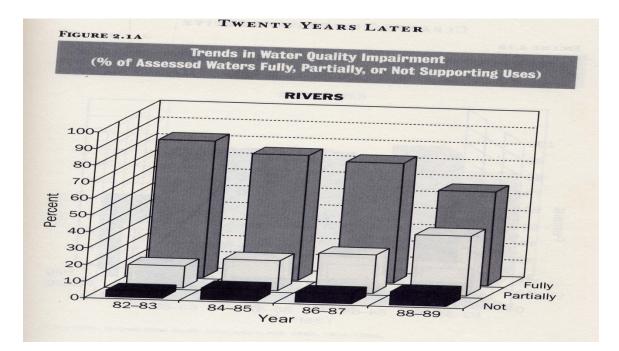
Is the Clean Water Act Effective?

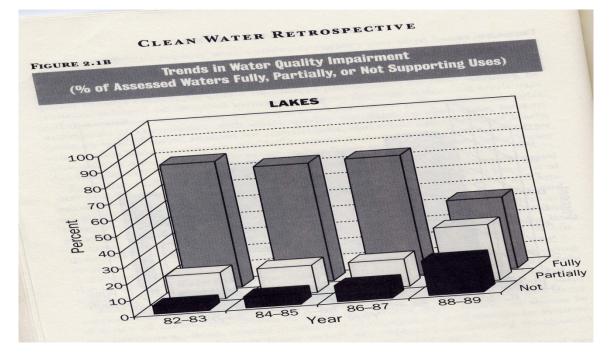
Uncertainty

- Yes! We don't have cholera etc; water pollution control in the US is one of the grand accomplishments of civilization!
- No! Despite all our efforts, significant problems remain
- Overall, data is very inconsistent

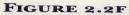
Trends in Water Quality Outcomes (not Outputs!)

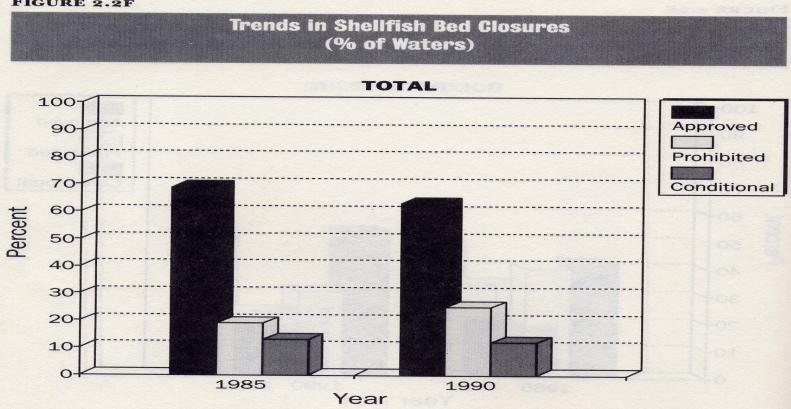
- Attainment of designated uses (decline)
- Shellfish bed closures (increase)
- USGS Water Quality Monitoring—little change
- Increase in water treatment
- Increase in pollution control expenditures; 1.82 billion in '73; 5.8 billion in '86 (ok, one output)

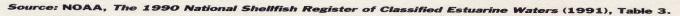




CLEAN WATER RETROSPECTIVE







Conclusions

- Stability in water quality since 1950s probably, not improvement
- Point source discharges show most improvement
- Non-point sources must be controlled
- Cost-benefit analyses generally show costs outweigh benefits (but can we really know?)